A. H. H. H. H. H.

۹,

[:]

Į.

Ĩ.,

NAME

Signature

(Print/Type)

Please type a plus sign (+) inside this box



PTO/SB/50 (02-01)

Approved for use through 01/31/2004, OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

### REISSUE PATENT APPLICATION TRANSMITTAL Attorney Docket No. 501.39180RC2 Address to: First Named Inventor IKAWA, et al **Assistant Commissioner for Patents** Original Patent Number 5,834,922 **Box Reissue** Original Patent Issue Date Nov. 10, 1998 Washington, DC 20231 (Month/Day/Year) Express Mail Label No. **APPLICATION FOR REISSUE OF:** х Utility Patent Design Patent Plant Patent (Check applicable box) Continuation of U.S. Application Serial No. 097708,562 **ACCOMPANYING APPLICATION PARTS APPLICATION ELEMENTS (37 CFR 1.173)** Fee Transmittal Form (PTOI SBI 56) Statement of status and support for all changes X 10 (Submit an original, and a duplicate for fee processing) to the claims. See 37 CFR 1.173 (c). Applicant claims small entity status. See 37 CFR 1.27. 11. Original U.S. Patent for surrender Specification and Claims in double column copy of patent Ribboned Original Patent Grant X format (amended, if appropriate) Statement of Loss (PTO/SB/55) 4. | X | Drawing(s) (proposed amendments, if appropriate) |x|Foreign Priority Claim (35 U.S.C. 119) Reissue Oath/Declaration (original or copy) (if applicable) (37 C.F.R. § 1.175) (PTO/SB/51 or 52) Information Disclosure Copies of IDS 6. X Power of Attorney Statement (IDS)/PTO-1449 Citations English Translation of Reissue Oath/Declaration 7. Original U.S. Patent currently assigned? (if applicable) (If Yes, check applicable box(es)) Written Consent of all Assignees (PTO/SB/53) **Preliminary Amendment** 37 C.F.R. § 3.73(b) Statement Return Receipt Postcard (MPEP 503) (PTO/SB/96) X 16. (Should be specifically itemized) CD-ROM or CD-R in duplicate, Computer Program (Appendix) Statement Concerning of 8. 17. Other: or large table Surrender of Ori U.S. Patent 5.83 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all of the following are necessary) Credit Card Payment Form Computer Readable Form (CFR) b. Specification Sequence Listing on: i ☐ CD-ROM (2 copies) or CD-R (2 copies); or ii 🛘 paper Statements verifying identity of above copies 18. CORRESPONDENCE ADDRESS XCustomer Number or Bar Code Label or Correspondence address below (Insert Customer No. or Atlach bar code label here) Name Address Zip Code City State Country Telephone

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

Me**lv**in Kraus

Registration No. (Attorney/Agent)

Date

22,466

6,

2002

Approved for use through 12/30/2000. OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/56 (08-00)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Docket Number (Optional) REISSUE APPLICATION FEE TRANSMITTAL FORM 501.39180RC2 Claims as Filed - Part 1 Small Entity Other than a Small Entity Claims in (3)Number Filed in Patent Reissue Application Rate Fee Rate Fee **Number Extra** <del>----</del>4 **Total Claims** x \$18 = (B) 35 72.00 (A) 31 (37 CFR 1.16(j)) (D) 7 6 1 (C) Independent claims 84.00 x\$84 (37 CFR 1.16(i)) \$740.00 Basic Fee (37 CFR 1.16(h)) **Total Filing Fee** \$896.00 \$ OR Claims as Amended - Part 2 (1) (2)(3)Other than a Small Entity **Small Entity Highest Number** Extra Claims Remaining Rate Fee Fee Previously Claims Rate After Amendment Paid For Present Total Claims = MINUS x \$ (37 CFR 1.16(j) Independent MINUS x \$ Claims (37 CFR 1.16(i)) \$ OR **Total Additional Fee** \* If the entry in (D) is less than the entry in (C), Write "0" in column 3. \*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

*** /	After any cancellation of claims.						
**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).							
****	"Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).						
	Applicant claims small entity status. See 37 CFR 1.27.						
	Please charge Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of					
X	The Commissioner is hereby authorized to charge any a credit any overpayment to Deposit Account No. 01-A duplicate copy of this sheet is enclosed.	dditional fees under 37 CFR 1.16 or 1.17 which may be required, or 2135					
	A check in the amount of \$	to cover the filing / additional fee is enclosed.					
X	Payment by credit card. Form PTO-2038 is attached.						
		become public. Credit card information should not card information and authorization on PTO-2038.					

3/6/02 Date

Signature of Applicant, Attorney or Agent of Record

Melvin Kraus, Reg. No. 22,466

Typed or printed name

r.

501.39180RC2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): K. IKAWA, et al

Serial No.:

(not yet assigned)

Filed:

March 6, 2002

For:

SECONDARY BATTERY POWER STORAGE SYSTEM

Continuation of Reissue Application of Serial No. 09/708,562, which is a Reissue of U.S.

Patent No. 5,384,922

# STATEMENT CONCERNING OF SURRENDER OF ORIGINAL U.S. PATENT NO. 5,834,922

Commissioner for Patents Washington, D.C. 20231

March 6, 2002

Sir:

Applicants note that Original Letters Patent, U.S. Patent No. 5,834,922 has been surrendered on October 22, 2001, in connection with the parent reissue application Serial No. 09/708,562. Accordingly, further surrender of such patent is not possible in this application.

Respectfully submitted,

Melvin Kraus

Registration No. 22,466

ANTONELLI, TERRY, STOUT & KRAUS, LLP

MK/cee

(703) 312-6600



PTO/SB/53 (12-97)
Approved for use through 9/30/00. OMB 0651-0033
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number.

		The parameter and required to to		One colon of littori	Tallon ulliess it displays a valid Olivis control number			
REISSUE	APPLIC	CATION BY THE INV SURRENDER PATE	√ENTC	PR,	Docket Number (Optional)			
OFF	EK 10	SURRENDER PATE	= IN I		501.39180R00			
This is part of the	application	on for a reissue patent b	ased on	the origina	al patent identified below.			
	Name of Patentee(s) Kyoko IKAWA and Tatsuo HORIBA							
	834,922		Date Pa	tent Issued	November 10, 1998			
Title of invention SECONDARY BATTERY POWER STORAGE SYSTEM								
I am the inventor	I am the inventor of the original patent.							
I offer to surrende	er the orio	ginal patent.						
1. X Filed he	erein is a	certificate under 37 CFF	R 3.73(b	).				
2. Ownership of the patent is in the inventor(s), and no assignment of the patent has been made.								
One of boxes 1 or	r 2 above	e must be checked.			·			
The written consent of all assignees owning an undivided interest in the original patent is included in this application for reissue.								
Signature				Date				
Myo	po	9 kawa		11/	2/2000			
Typed or printed na	me							
Kyoko IKAWA								
	The assignee owning an undivided interest in said original patent is HITACHI, LTD.							
and the assignee c	consents	to the accompanying app	olication	for reissue	·			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.								
	Name of assignee							
HITACHI, LTD.	252*			<del></del>				
Signature of person	signing	lor assignee		Date	v 13,2000			
Typed or printed na	me and t	itle of person signing for	assigne	e	V. 13, QUV			
Mr. Yasuo Sakuta		E	xecutive	Managing	Director, Hitachi, Ltd.			

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

501.39180RC2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): K. IKAWA, et al

Serial No.: (not yet assigned)

Filed: March 6, 2002

For: SECONDARY BATTERY POWER STORAGE SYSTEM

Continuation of Reissue Application of Serial No. 09/708,562, which is a Reissue Application

of U.S. Patent No. 5,384,922

## STATUS OF CLAIMS AND SUPPORT FOR CLAIM CHANGES

Commissioner for Patents Washington, D.C. 20231

March 6, 2002

Sir:

Pursuant to the requirements of 37 CFR 1.173(c), the following sets forth the status of the claims of U.S. Patent No. 6,034,507 in the above-identified reissue application. Patent claims 1-31 remain pending with new claims 32-35 having been presented, and pending in this application.

It is respectfully submitted that newly added claims 32-35 are supported in the specification of this application, in that claims 32 and 33 which depend directly or indirectly from claim 31 of the original patent, recite the feature that the power system includes a power system of a vehicle and that the vehicle is an automobile, respectively, which features are supported in U.S. Patent No. 5,384,922, for example, at col. 1, lines 9-16, more particularly, col. 1 line 15, as well as

col. 4, lines 56 and 57, col. 6, lines 62-64 and col. 9, lines 55-61. Likewise, Figs. 1-4 and the corresponding descriptin of the U.S. Patent No. 5,384,922 support the recited features of claim 34.

Please charge any shortage in the fees due in connection with the filing of this paper, to Deposit Account No. 01-2135 (501.39180RC2) and please credit any excess fees to such deposit account.

Respectfully submitted,

Melvin Kraus

Registration No. 22,466

ANTONELLI, TERRY, STOUT & KRAUS, LLP

MK/cee (703) 312-6600



PTO/SB/96 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)									
Applicant/Patent Owner: HITACHI, LTD									
Application No./Patent No.: 5,834,922	F	led/Issue Date: November 10, 1998							
Entitled: SECONDARY BATTERY POWER STORAGE SYSTEM									
HITACHI, LTD.	, a	Corporation	,						
(Name of Assignee)	(Type of Ass	ignee, e.g., corporation, partnership, university, government age	ncy, etc.)						
states that it is:									
1. X the assignee of the entire right, title, and interest; or									
2. an assignee of an undivided part interest									
in the patent application/patent identified abo	in the patent application/patent identified above by virtue of either:								
A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 7298, Frame 0024, or for which a copy thereof is attached.									
OR			•						
B. [ ] A chain of title from the inventor(s), of the	patent application/page	atent identified above, to the current assignee as sho	wn below:						
To: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.									
The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.									
From:  The document was recorded in the		and Office of							
Reel, Frame									
[ ] Additional documents in the chair	n of title are listed o	n a supplemental sheet.							
[ ] Copies of assignments or other documents [NOTE: A separate copy (i.e., the original a must be submitted to Assignment Division recorded in the records of the PTO. See M	assignment documen in accordance with 3	or a true copy of the original document)							
The undersigned (whose title is supplied below)	is empowered to sig	this statement on behalf of the assignee.							
Nov. 2, 2000	-	Signature	•						
·		Signature  Mr. Yasuo Sakuta							
	<del></del>	Typed or printed name							
	Execu	tive Managing Director, Hitachi, Ltd.							